

021004

15866 **PLANT  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1236-063PP

First Inventor Moriya KAWASHIMA

Title Osteospermum Plant Named 'Kakegawa AU11'

Express Mail Label No. EV195485715US

U.S.P.T.O.  
10/77561810/775618  
021004ASSIGNEE: Sakata Seed Corporation  
JapanADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification Total pages [ 8 ] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention (with Plants name)</li> <li>- Cross references to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Latin name of genus and species</li> <li>- Variety denomination</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings</li> <li>- Detailed Botanical Description</li> <li>- Claim (only one (1) permitted MPEP 1605)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Color Drawing(s) (Total Sheets) [ 3 ] (3 copies required - 37 CFR 1.165(b))</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [ 1 ]</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed)</li> </ul> <p>i <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet.</p>		<p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)</p> <p><input type="checkbox"/> Power of Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement /PTO 1449</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s). (if foreign priority is claimed)</p> <p>14. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i)</p> <p>15. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing by Label EV195485715US</p>
<p>Note: Please state the Latin name and variety denomination of the plant claimed in a separate section of the specification.</p>		
<p>16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional of prior application No.: _____  <i>Prior application information: Examiner _____ Group Art Unit _____</i>  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>		

## 17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label 32905					
Address	Jondle & Associates P.C. Suite 200, 9085 East Mineral Circle				
City	Centennial	State	CO	Zip Code	80112
Country	U.S.A.	Telephone	303-799-6444	Fax	303-799-6898
Name	Kenyon L. Schuett, Reg. No. 44,324				
Signature	<i>Kenyon L. Schuett</i>			Date February 10, 2004	

15866  
U.S.PTO
**FEE TRANSMITTAL**  
**for FY 2003**  
**(Large Entity)**

		Complete if Known	
		Application Number	10/
		Filing Date	
		First Named Inventor	Moriya KAWASHIMA
		Examiner Name	
		Group Art Unit	
Total Amount of Payment	\$570.00	Attorney Docket Number	1236-063PP

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 50-2368 in the name of Jondle & Associates P.C.

Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status

2.  Payment Enclosed  
 Check  
 Credit Card

**FEE CALCULATION****1. FILING FEE**

Fee	Fee	Fee Description	Fee Paid
Code	\$		
1001	770	Utility filing fee	[ ]
1002	340	Design Filing Fee	[ ]
1003	530	Plant Filing Fee	[530.00 ]
1004	770	Reissue Filing Fee	[ ]
1005	160	Provisional Filing Fee	[ ]

**SUBTOTAL**      \$530.00
**2. CLAIMS**

Paid	Extra Claims	Fee	Fee
Total Claims	[ ] - 20** = [ ] x \$18 = [ ]		
Independent Claims	[ ] - 3** = [ ] x 86 = [ ]		
Multiple Dependent Claims	+ 290 = [ ]		

\*\*or number previously paid, if greater;

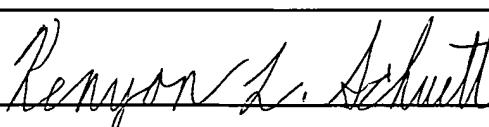
**SUBTOTAL**      \$  
**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	[ ]
1052	50	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
1251	110	Extension for reply within first month	[ ]
1252	420	Extension for reply within second month	[ ]
1253	950	Extension for reply within third month	[ ]
1254	1,480	Extension for reply within fourth month	[ ]
1255	2,010	Extension for reply within fifth month	[ ]
1401	330	Notice of Appeal	[ ]
1402	330	Filing a brief in support of an appeal	[ ]
1403	290	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
1452	110	Petition to revive -unavoidable	[ ]
1453	1,330	Petition to revive - unintentional	[ ]
1501	1,330	Utility issue fee (or reissue)	[ ]
1502	480	Design issue fee	[ ]
1503	640	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[40.00 ]
1809	770	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
1810	770	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	770	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
1814	110	Statutory Disclaimer Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

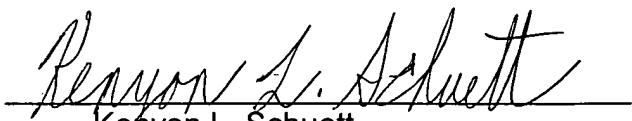
**SUBTOTAL**

\$40.00

SUBMITTED BY			Complete (if applicable)	
NAME AND REG. NUMBER	Kenyon L. Schuett, Reg. No. 44,324			
SIGNATURE		DATE	February 10, 2004	DEPOSIT ACCOUNT USER ID

Certificate of Mailing by Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (post office to addressee) in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this 10th day of February 2004. The number of the Express Mail mailing label is EV195485715US.

  
\_\_\_\_\_  
Kenyon L. Schuett  
Reg. No. 44,324  
February 10, 2004